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UNITED STATES 2015 FEB -3 PM 12: 01 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New

| Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Excha | inge Act of 1834 |
|---|--|
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | Mail Processing Section |
| Part I Initial Listing Report | FEB 032015 |
| Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Y-Exchange, Inc. | Washington DC 404 |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, con See Attached. | rporation, etc.): |
| 3. Class of New Derivative Securities Product: See Attached. | 1 1000 1100 1111 1111 1111 1111 1111 1111 1111 |
| 4. Name of Underlying Instrument: See Attached. | 15000101 |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached. | IOUUUI |
| 6. Ticker Symbol(s) of New Derivative Securities Product: See Attached. | |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached. | |
| 8. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC. | |
| Position Limits of New Derivative Securities Product (if applicable): Not Applicable. | |
| Part II. Execution | |
| The undersigned represents that the governing body of the above-referenced Self-Regulator approved, or has duly delegated its approval to the undersigned for, the listing and trading derivative securities product according to its relevant trading rules, procedures, surveillance standards. | of the above-referenced new |
| Name of Official Responsible for Form: Anders Franzon | |
| Title: VP, Associate General Counsel | |
| | les linelisage Let of 1934 |
| Manual Signature of Official Responsible for Form: | |
| Date: February 2, 2015 FEB | 0 3 2015 |

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
COMMENCING ON JANUARY 28, 2015

| (6) Ticker Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | (5) Broad or Narrow |
|-------------------------|----------------------------------|-----------------------------|--|---|---------------------------|
| SBEU | Trust | Investment Company Units | Scientific Beta Developed Europe Multi-Beta Multi- Strategy Equal Weight Index | World | Broad |
| SBUS | Trust | Investment Company Units | Scientific Beta United States Multi-Beta Multi-Strategy Equal Weight Index | u.s. | Broad |



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February 2, 2015

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC
Mail Processing
Section
FEB 0 3 2015
Washington DC
404

RE: Form 19b-4(e) - BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on BATS Y-Exchange on January 28, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

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